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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial application of: Rajan Vadakkedathu, Jason L. Crawford, Mark N. Wegman	
Serial No.: 10/763,929	Group Art Unit: 2171
Filed: 01/23/2004	Examiner: TBD
Title: Classification of Electronic Mail into Multiple Directories based upon their Spam-Like Properties	

**INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

FIRST-CLASS MAIL CERTIFICATE	
I hereby certify that the above paper/fee is being deposited with the United States Postal Service "First-Class Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.	
Date of Deposit:	<u>June 6, 2006</u>
Person mailing paper/fee:	<u>Michael J. Buchenhorner</u>
Signature:	<u>Michael J. Buchenhorner</u>

Respectfully Submitted,

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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Attorney Docket No. <b>YOR920030461</b>		Serial No. <b>10/763,929</b>	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant (s): <b>T. Rajan Vadakkedathu, et al.</b>			
				Filing Date: <b>01/23/2004</b>		Group Art Unit: <b>2171</b>	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA	5,999,932	12/07/1999	Filtering unsolicited electronic mail messages using data matching and heuristic processing	707	10	1/13/98
	AB	6,023,723	02/08/2000	Filtering unwanted junk email utilizing a plurality of filtering mechanisms	709	206	12/22/97
	AC	6,029,164	02/22/2000	Organizing & accessing electronic mail messages using labels & full text label indexing	707	3	6/16/97
	AD	6,092,101	07/18/2000	Filtering mail messages for a plurality of client computers connected to mail serv. System	709	206	6/16/97
	AE	6,161,130	12/12/2000	Probabilistic classifier to detect "junk" email by automatically updating a training & retraining	709	206	6/23/98
	AF	6,167,434	12/26/2000	Computer code for removing junk email messages	709	206	7/15/98
	AG	6,199,102	03/06/2001	Filtering electronic messages	709	206	8/26/97
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA						
	BB						
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	CA	Mehran Sahami, Susan Dumais, David Heckerman, Eric Horvitz, "A Bayesian Approach to Filtering Junk E-Mail,"					
	CB	Paul Graham, "Stopping Spam," August 2003					
	CC	Paul Graham, "Filters that Fight Back," August 2003					
	CD	Paul Graham, "Better Bayesian Filtering," January 2003					
	CE	Paul Graham, "So Far, So Good," August 2003					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).							

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				Applicant(s): <b>T. Rajan Vadakkedathu, et al.</b>			
		INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Filing Date: <b>01/23/2004</b>	Group Art Unit: <b>2171</b>		
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AH	6,249,805	06/19/2001	Filtering unauthorized electronic mail messages	709	206	8/12/97
	AI	6,266,692	07/24/2001	Blocking all unwanted email (spam) using a header-based password	709	206	1/4/99
	AJ	6,324,569	11/27/2001	Self-removing email verified or designated as such by a message distributor	709	206	7/18/00
	AK	6,330,590	12/11/2001	Preventing delivery of unwanted bulk email	709	206	1/5/99
	AL	6,421,709	07/16/2002	Email filter and method thereof	709	206	7/7/99
	AM	6,484,197	11/19/2002	Filtering incoming email	709	206	2/11/99
	AN	6,487,586	11/26/2002	Self-removing email verified or designated as such by a message distributor	709	206	8/13/01
FOREIGN PATENT DOCUMENTS							
		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BC						
	BD						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CD						
	CE						
	CF						
	CG						
	CH						
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<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AO	6,493,007	12/10/2002	Device for removing junk email messages	715	835	7/15/98
	AP	6,654,787	11/25/2003	Method and apparatus for filtering email	709	206	12/31/98
	AQ						
	AR						
	AS						
	AT						
	AU						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BE						
	BF						
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	CI						
	CJ						
	CK						
	CL						
	CM						
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